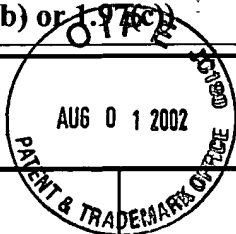


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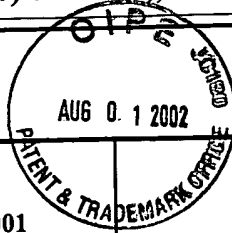
TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. PES-0043	
In Re Application Of: SHIEPE, ET AL.				
Serial No. 09/965,630	Filing Date September 27, 2001	Examiner	Group Art Unit 1745	
Title: METHOD AND APPARATUS FOR IMPROVED FLUID FLOW WITHIN AN ELECTROCHEMICAL CELL				
<p>Address to: Assistant Commissioner for Patents Washington, D.C. 20231</p> <p>37 CFR 1.97(b)</p> <p>1. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <p>37 CFR 1.97(c)</p> <p>2. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <p><input checked="" type="checkbox"/> the statement specified in 37 CFR 1.97(e);</p> <p>OR</p> <p><input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</p>				



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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))	Docket No. PES-0043
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In Re Application: SHIEPE, ET AL.



Serial No. 09/965,630	Filing Date September 27, 2001	Examiner	Group Art Unit 1745
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METHOD AND APPARATUS FOR IMPROVED FLUID FLOW WITHIN AN ELECTROCHEMICAL

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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 06-1130 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
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- ☒ Charge any additional fee required.

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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F
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I certify that this document and fee is being deposited July 29, 2002 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.
 Signature of Person Mailing Correspondence
Sonia Mancini
_____ Typed or Printed Name of Person Mailing Certificate

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Dated: July 25, 2002

Signature
Pamela J. Curbelo
Registration No. 34,676
Customer No. 23413
(860) 286-2929

CC:

**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT**

Docket No.
PES-0043

In Re Application Of: **SHIEPE, ET AL.**

Serial No.
09/965,630

Filing Date
September 27, 2001

Examiner

Group Art Unit
1745

Invention: **METHOD AND APPARATUS FOR IMPROVED FLUID FLOW WITHIN AN ELECTROCHEMICAL CELL**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

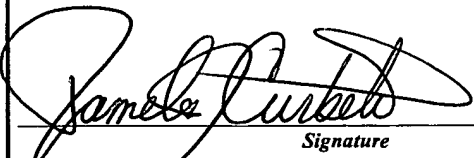
Statement Under 37 CFR 1.97(e)(1)

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

Dated: July 29, 2002


Signature
Pamela J. Curbelo
Registration No. 34,676
Customer No. 23413
(860) 286-2929

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20231.


Signature of Person Mailing Correspondence

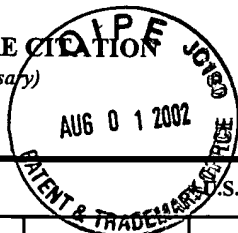
Sonia Mancini

Typed or Printed Name of Person Mailing Correspondence

CC:

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



Docket Number (Optional)

PES-0043

Application Number

09/965,630

Applicant(s)

SHIEPE, ET AL.

Filing Date

SEPTEMBER 27, 2001

Group Art Unit

1745

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1	5,641,586	06/24/97	Wilson			
	2	5,952,119	09/14/99	Wilson			

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FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
	3	EP 0929112 A2	14/07/1999	European			✓	
	4	WO 97/13287	10/04/1997	International			✓	
	5	WO 99/56333	04/11/1999	International			✓	
	6	WO 01/61777 A2	23/08/2001	International			✓	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	7	International Search Report, International Application No.: PCT/US 01/30257, International Filing Date: 27/09/2001, 8 pages

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.